

Application No.: 09/836,627  
Atty. Dkt. No: 6514-11-BHJ

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert A. Scott et al.

Title: ENTERIC AND COLONIC  
DELIVERY USING HPMC  
CAPSULES

Appl. No.: 09/836,627

Filing 04/17/2001  
Date:

Examiner: Liliana Di Nola-Baron

Art Unit: 1615

<b>CERTIFICATE OF FACSIMILE TRANSMISSION</b> I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Alexandria, VA. at Fax Number (703) 872-8306 on the date below.  Kenneth G. Lemke (Printed Name)  Kenneth G. Lemke (Signature)  June 4, 2004 (Date of Deposit)
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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Washington, D.C. 20231

Submitted herewith on Form 1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO 1449 be returned in accordance with MPEP §609.

While no fee is believed to be due, the Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0455.

Respectfully submitted,

Date June 4, 2004

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Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 6514-11-BHJ	SERIAL NO. 09/836,627
<b>INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)		APPLICANT Robert A. Scott et al.	
		FILING DATE 04/17/2001	GROUP ART UNIT 1615

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
		WO 2004/030658	April 15, 2004	PCT	A61K 9/48			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.